

High Performance, Low Cost PIN, APD Receivers in Fiber Optical Networks and FTTx Applications

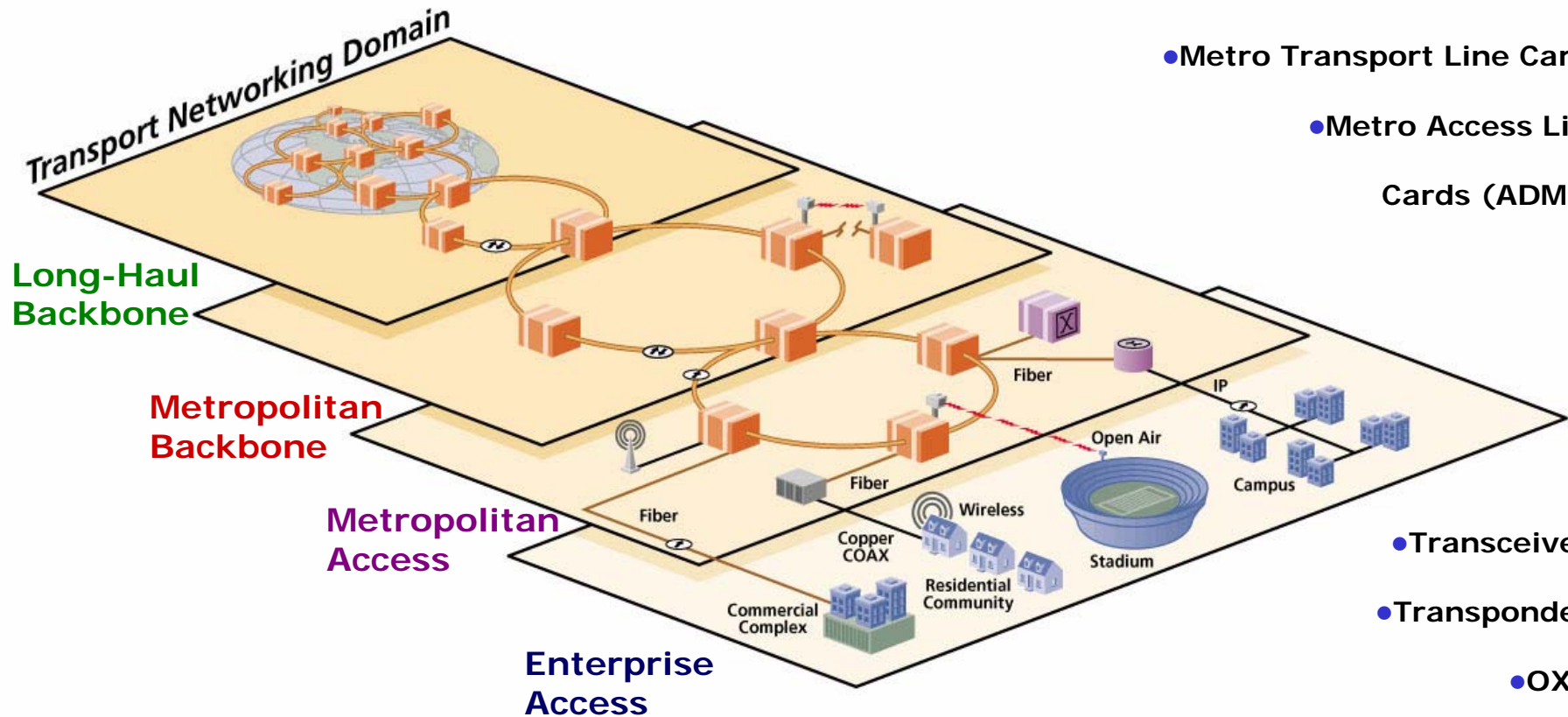
Hui Nie
4/23/2005



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Receiver Applications



- Back Bone Core Nodes LR and VLR Interface Cards

- Metro Transport Line Cards

- Metro Access Line Cards (ADM's)

- Transceivers

- Transponders

- OXC's

- FTTx Applications/Demands also heating up!





Photodetectors and Optical Receivers

- **Introduction**
- **Photodetectors Technologies**
 - Overviews
 - PIN Photodiodes
 - Avalanche Photodiodes (APDs)
 - APD Design Trade-offs
- **Photoreceivers Technologies**
 - Overviews
 - High Performance MSA Compliant Receivers & ROSAs
- PIN, APD ROSA in FTTx Applications





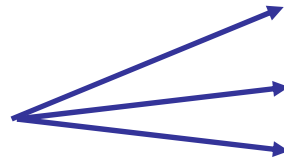
Photodiodes Technologies

- Overview

Transit Time Bandwidth

RC Time Bandwidth

- PINs

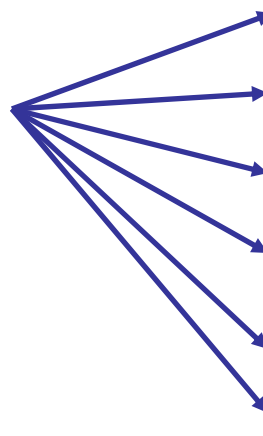


Surface-Illuminated PINs

Waveguide PINs

Traveling-Wave PINs

- APDs



Etch-Regrowth Planar SACM APDs

Buried-Mesa APDs w/ Regrowth Guard Ring

Resonant-Cavity APD w/ Thin Multiplication Layer

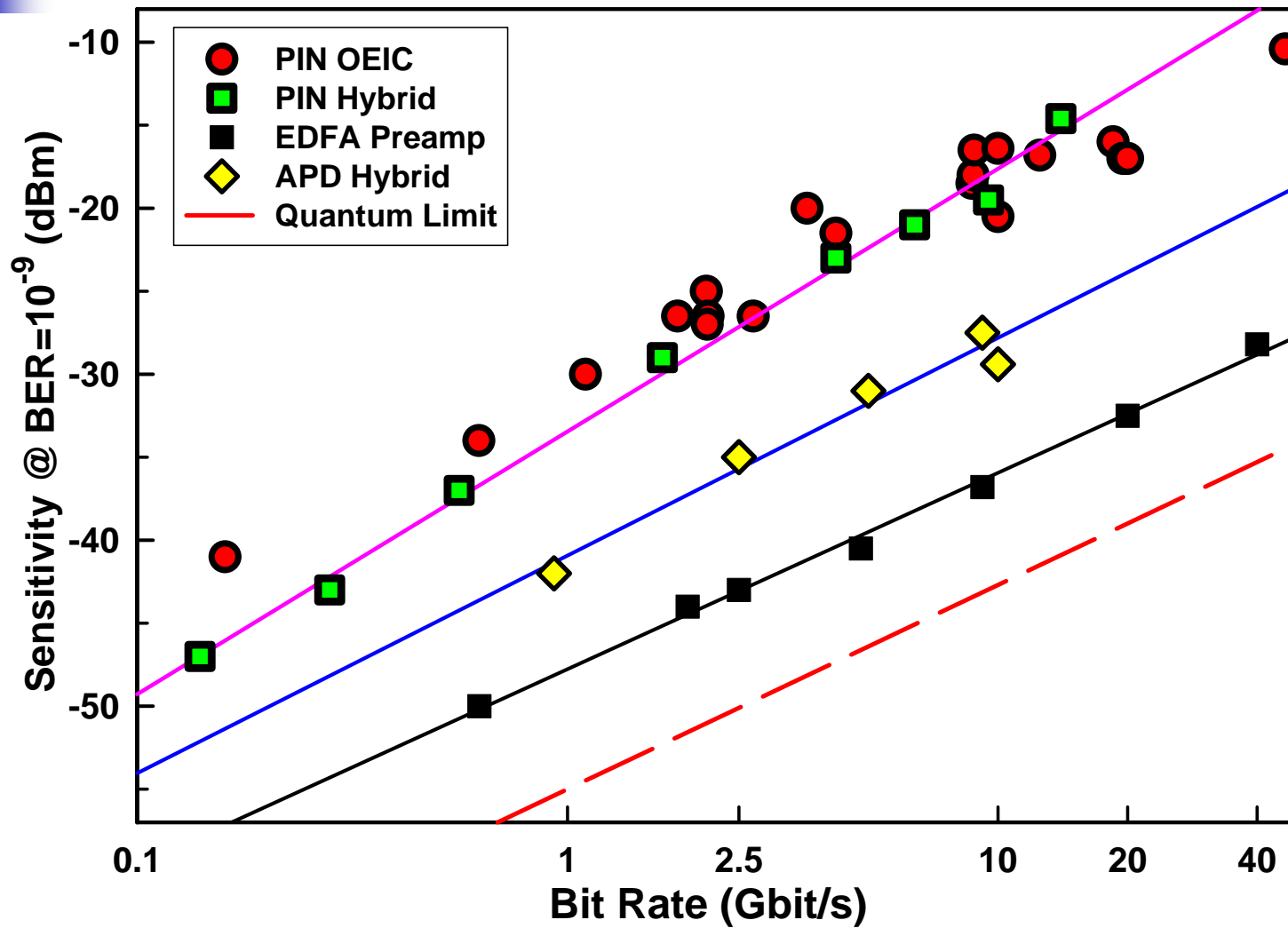
Wafer-Fused SHIP APD

InGaAlAs/InAlAs Superlattice APD

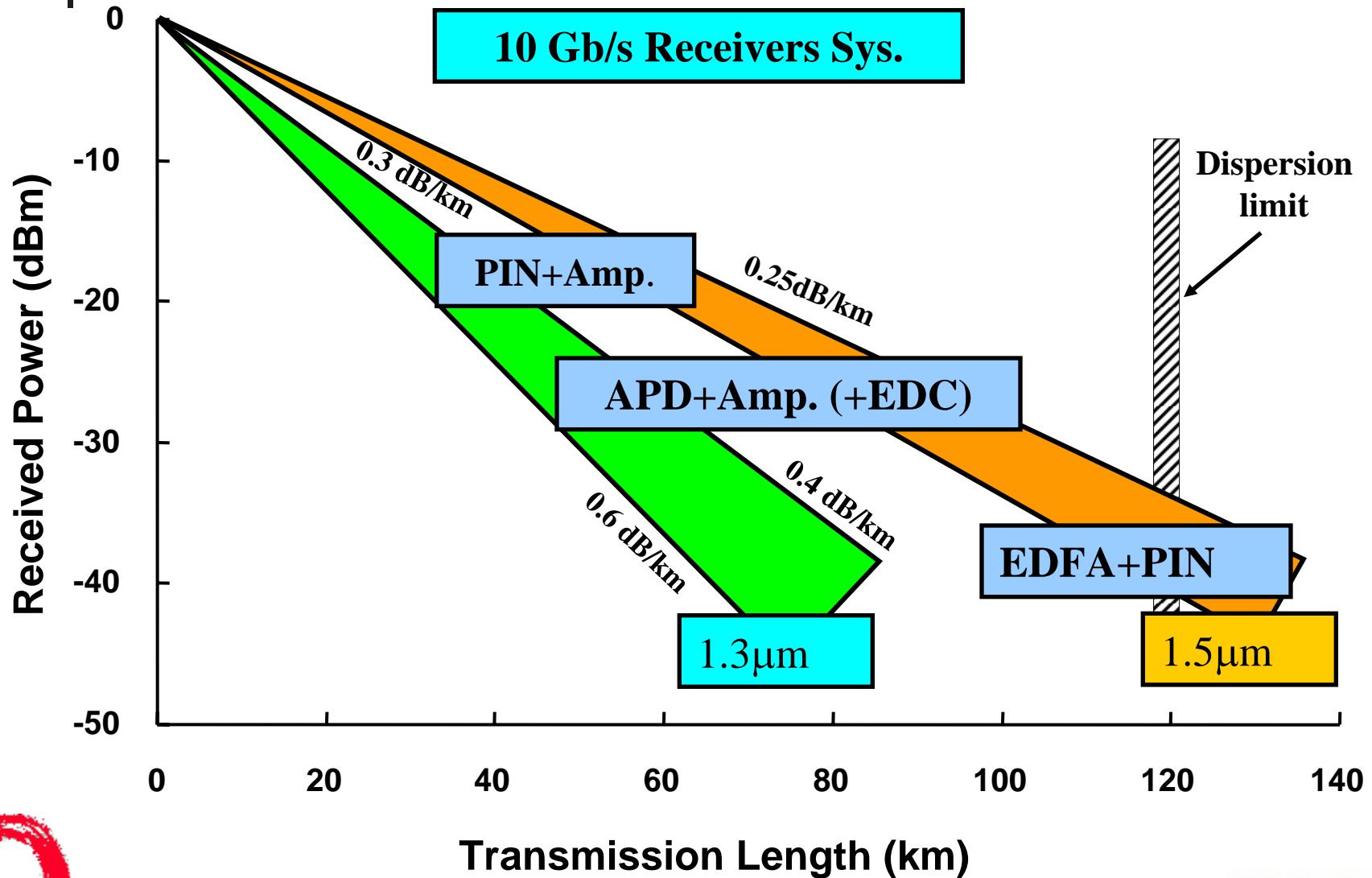
Waveguide APD



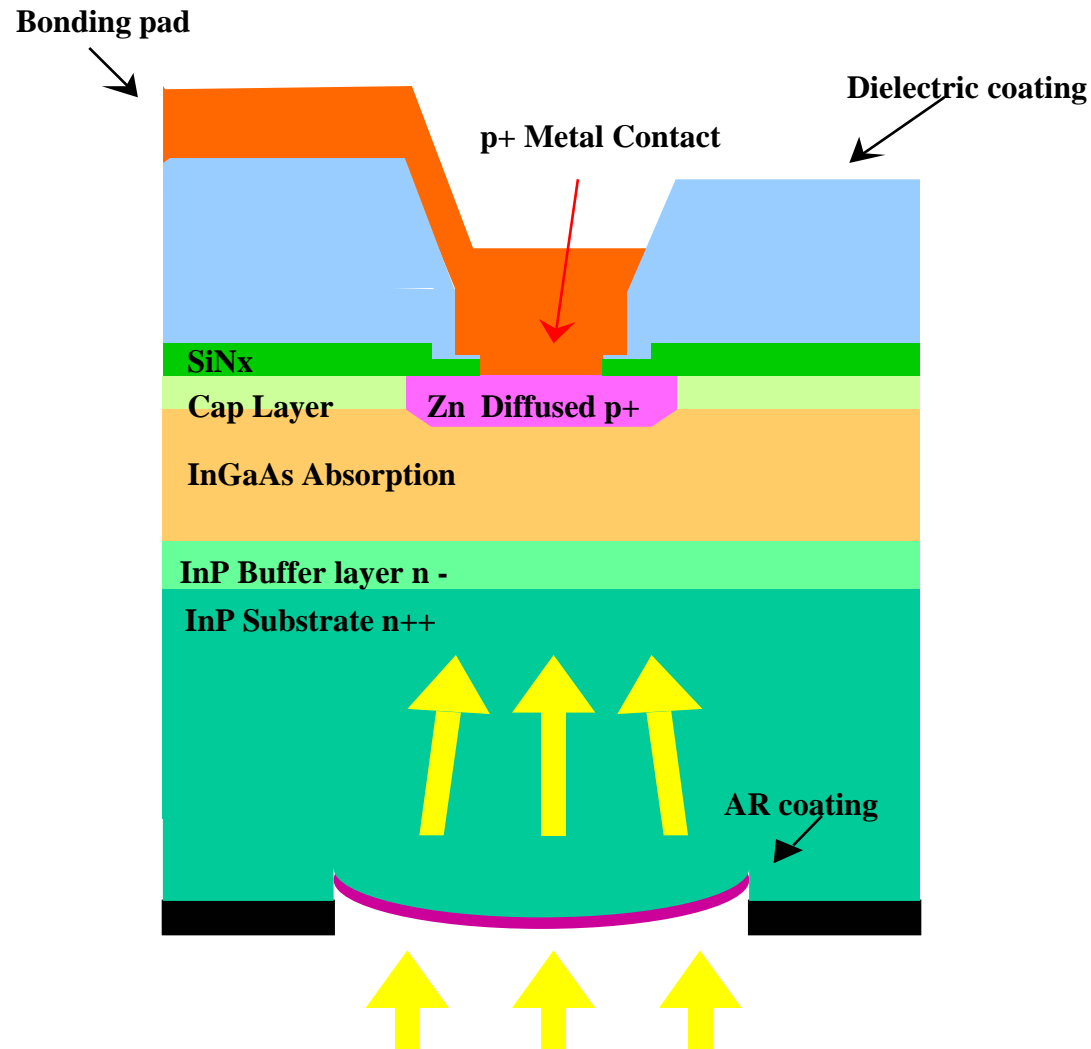
Photoreceiver Sensitivities v.s. Bit-Rate



Receiver Systems & Applications (10Gb/s Systems)



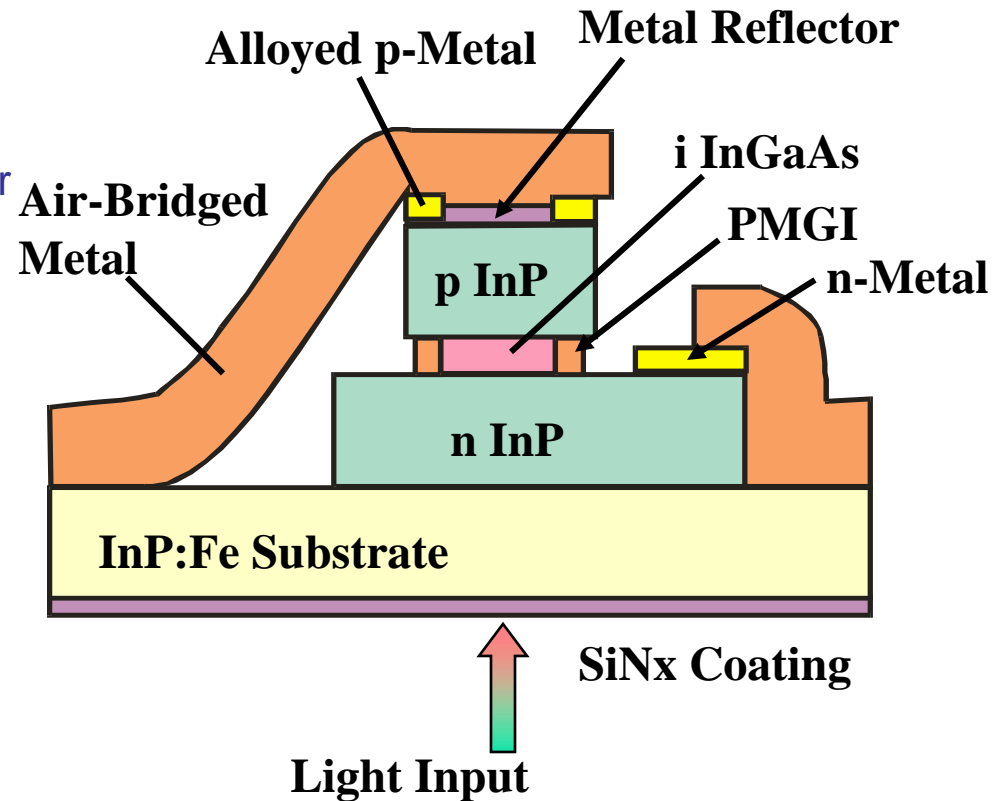
Industry Standard Top-illuminated Planar PIN



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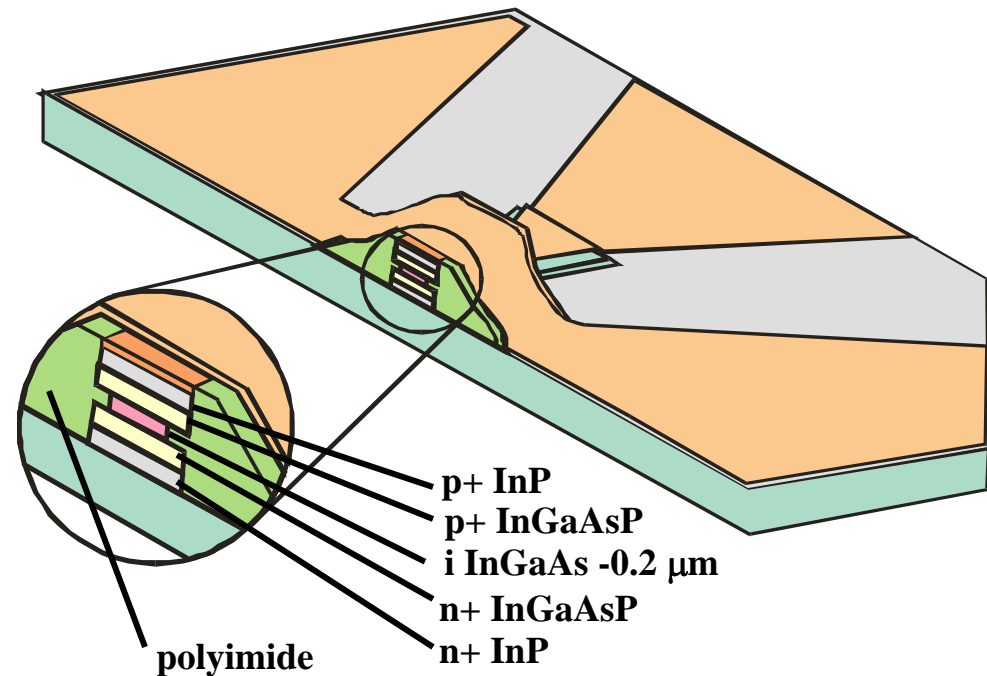
High-Speed Surface-illuminated Mesa PINs

- InGaAs/InP Graded Double Heterostructure p-i-n
- Superlattice Interface Grading
- Small Mesa Size $<10 \mu\text{m}^2$
- $< 0.2 \mu\text{m}$ InGaAs Absorption Layer
- Undercut, Mushroom Mesa to Minimize Parasitic Capacitance
- QE $<25\%$ @ $1.3 \mu\text{m}$
- Hard to Manufacture
- Integrated Bias Circuit (Bias Tee and Matched Resistor)
- Possible Wafer-Fusion DBRs to Enhance QE



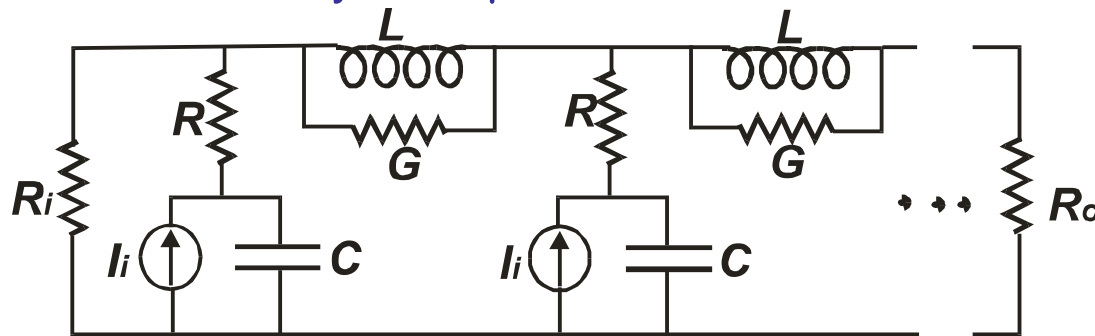
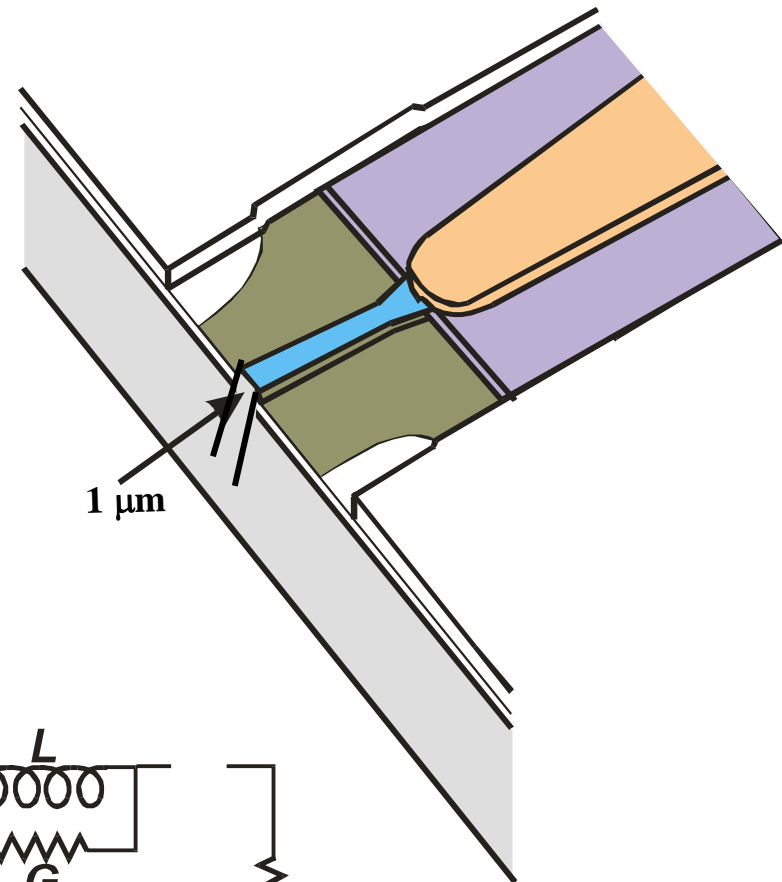
Waveguide Photodetectors (WGPDs)

- Side-Illumination
- Optimize Bandwidth and QE Independently
- Multimode Ridge Waveguide
- Micro-Lenses Fiber Coupling, Small Spot Size
- External QE > 70%
- Bandwidth > 100GHz
- Can be integrated OEIC Photoreceiver



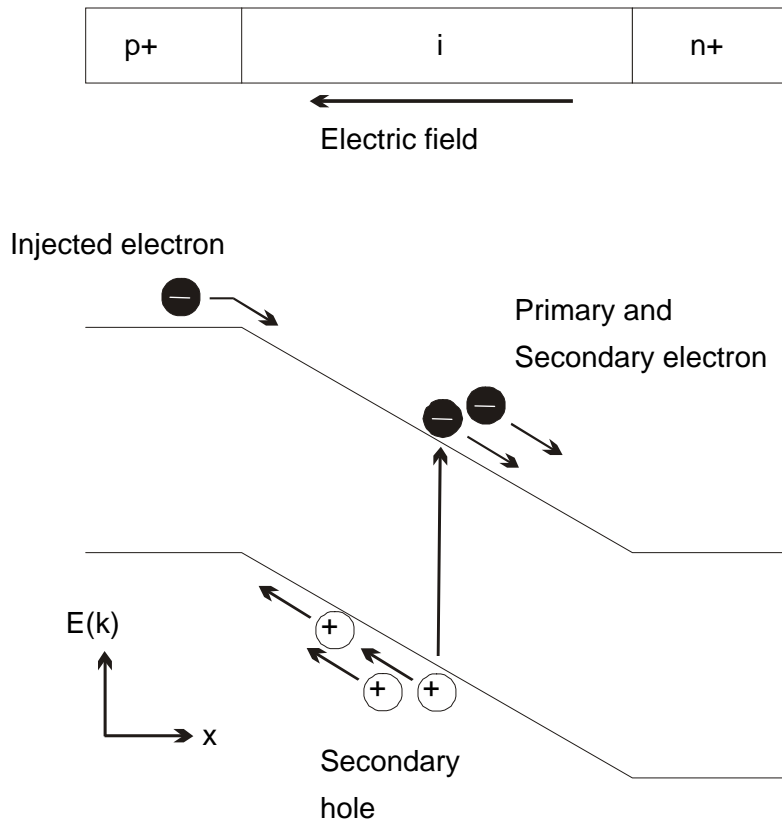
Traveling-Wave Photodetectors (TWPDs)

- Electrical Waveguide Concomitant with Optical Waveguide
- Match Between Electrical Wave and Optical Wave (50Ω)
- Eliminate RC Time Tradeoff
- Higher Saturation Power
- Bandwidth=172 GHz, QE~40%
- Small Geometry $w=1\ \mu\text{m}$

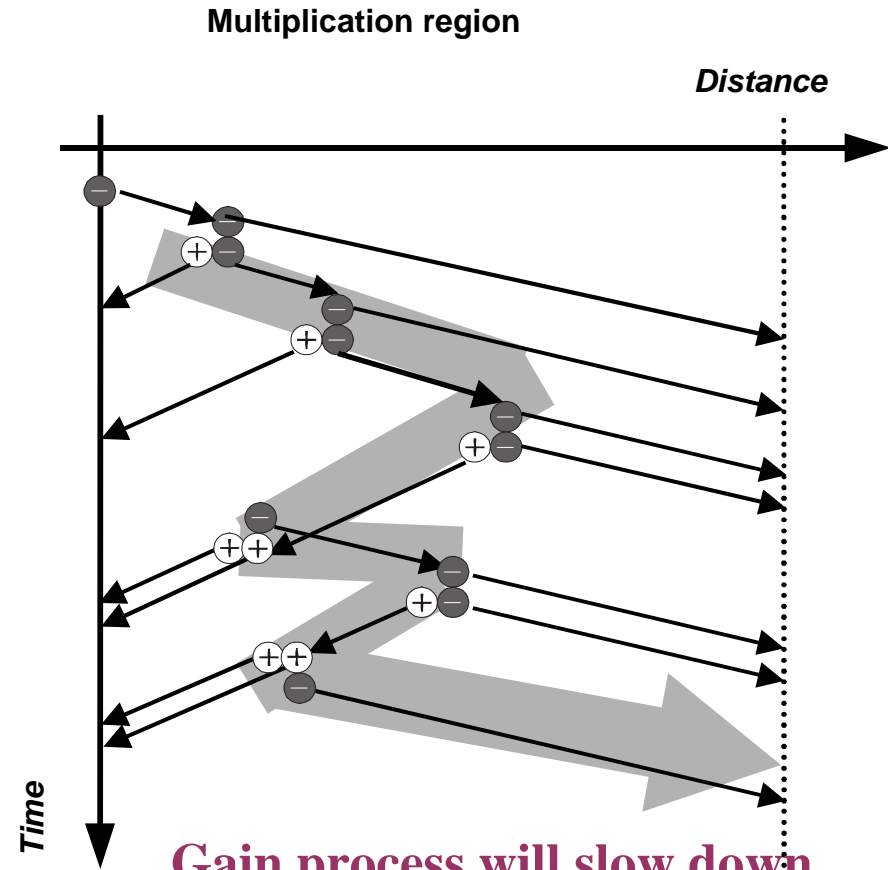


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Multiplication Process Enhance Performance



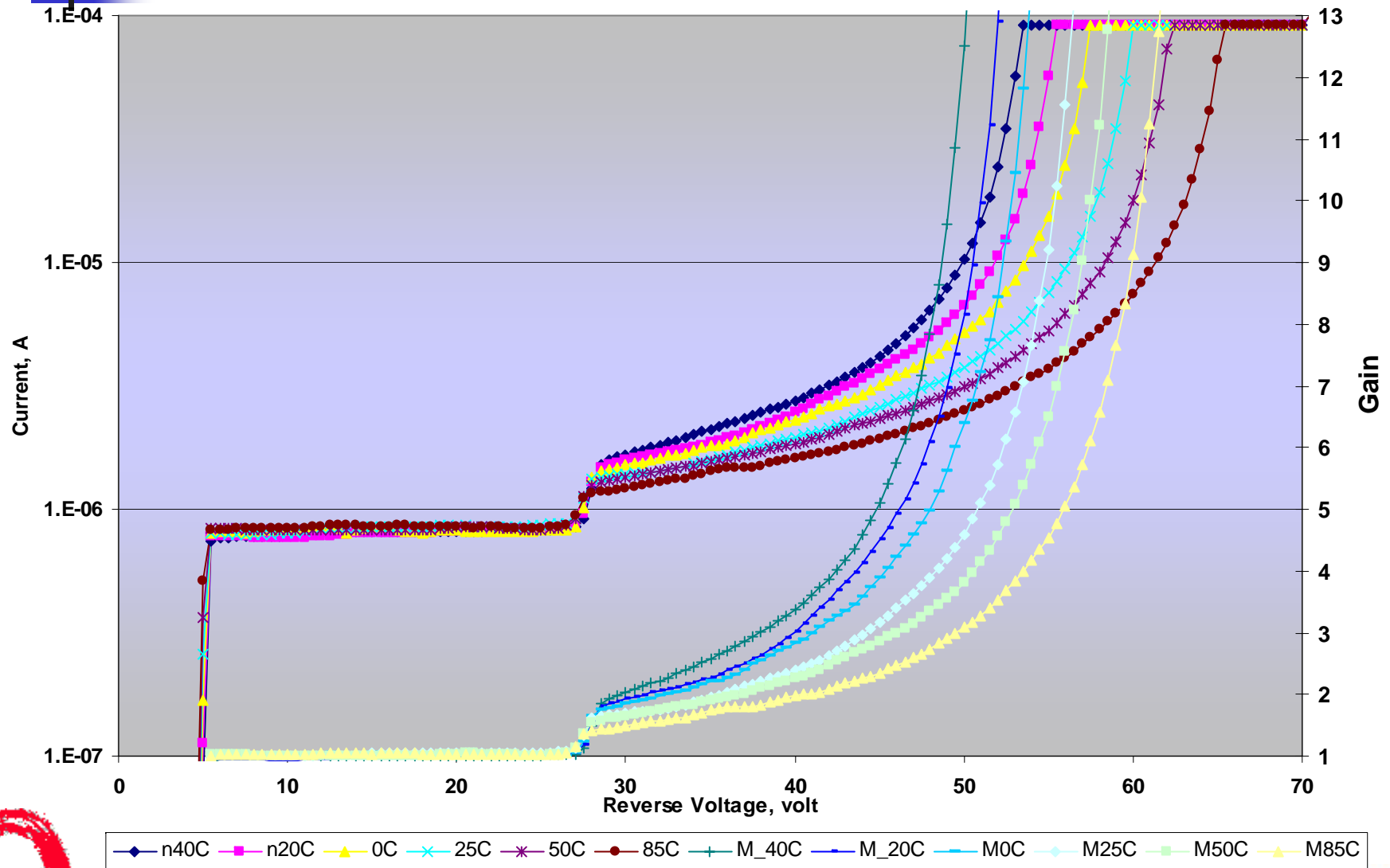
High Electrical Field near avalanche breakdown!



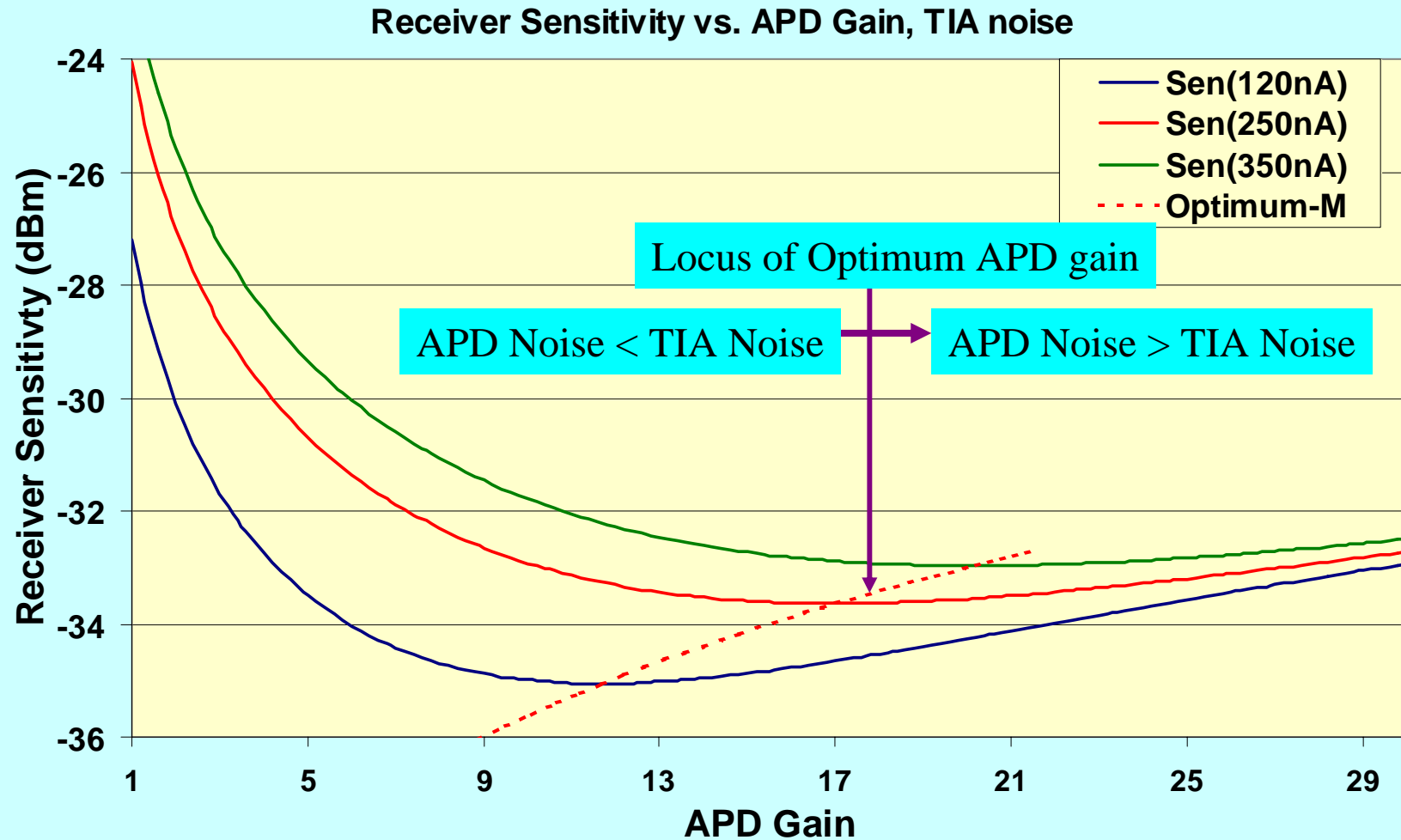
Gain process will slow down transit-time!
Figure of Merit:
Gain-Bandwidth Product



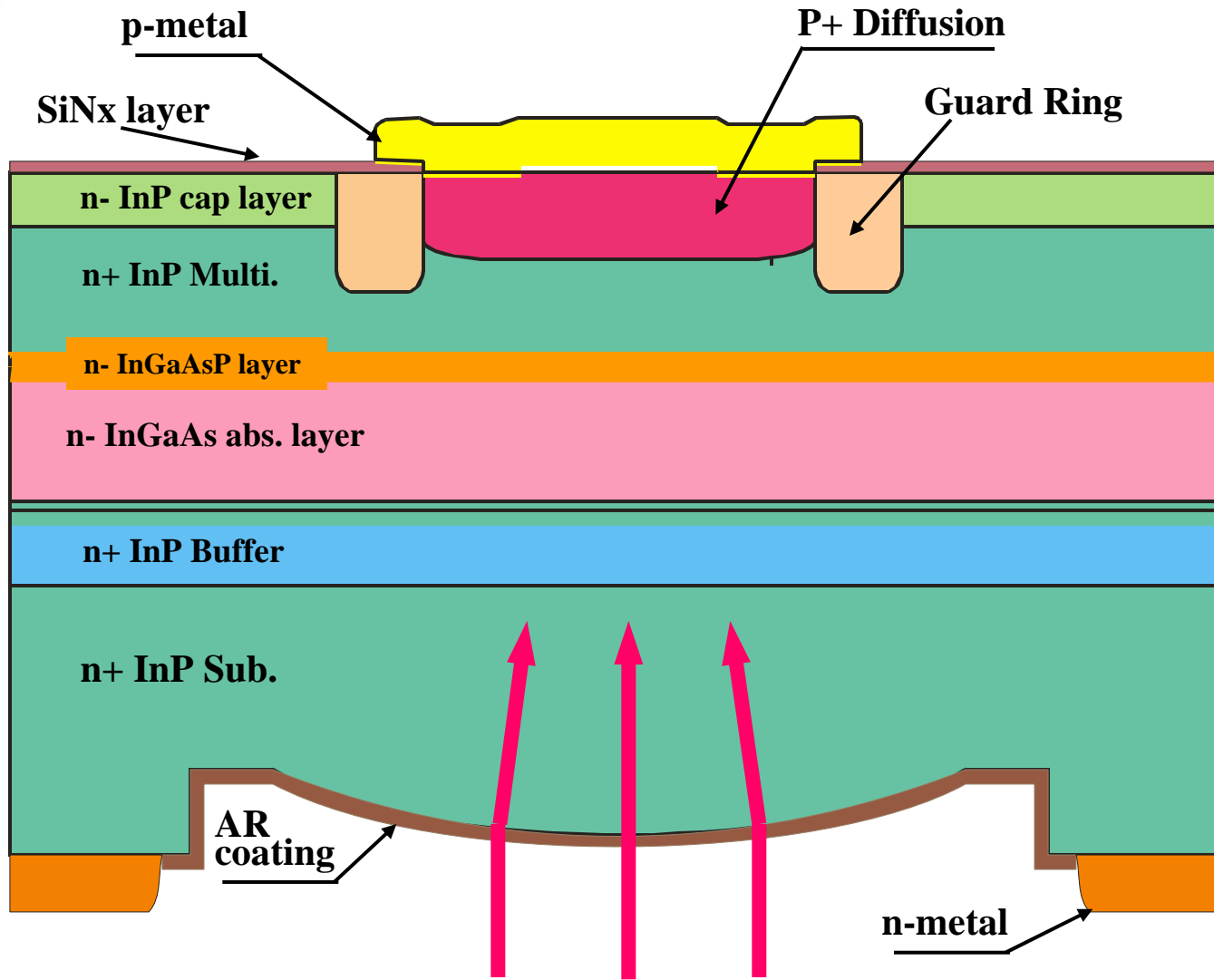
APD Photocurrent & Gain vs. Temperature



How does APD Enhance Rx Sensitivity?



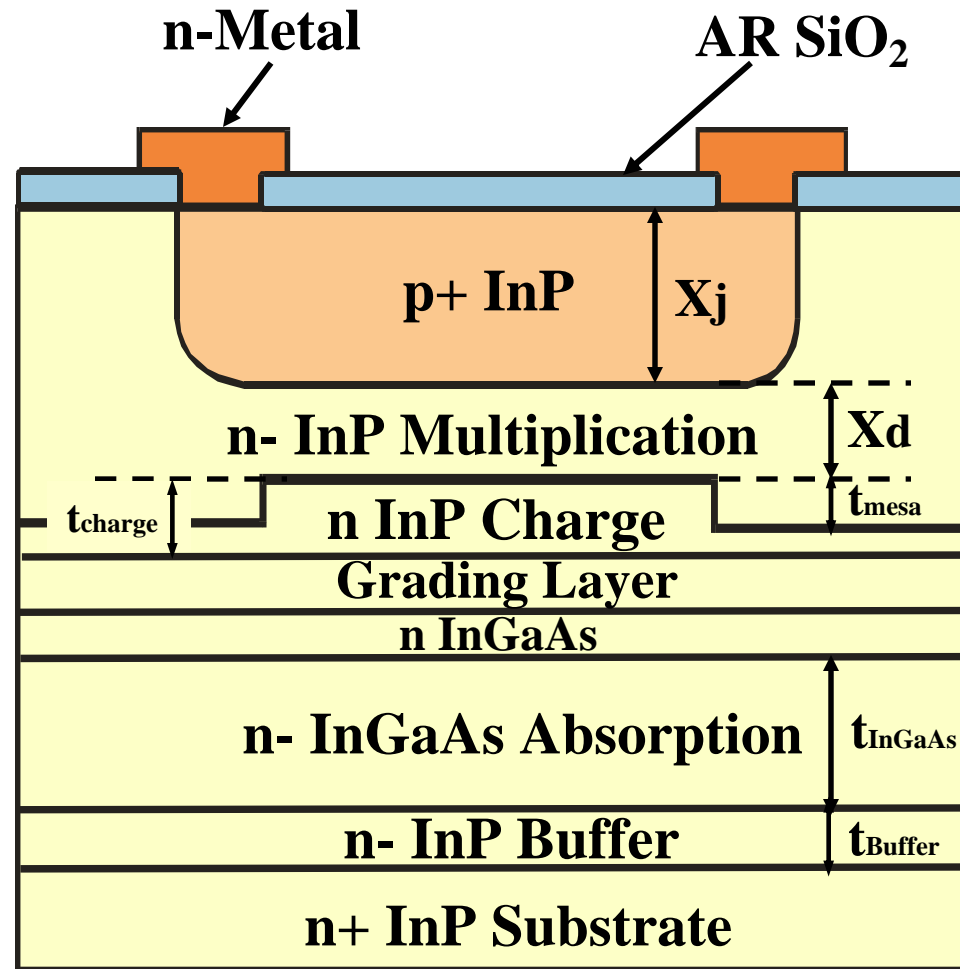
Planar Separate Absorption, Multiplication (SAM) APD Structure



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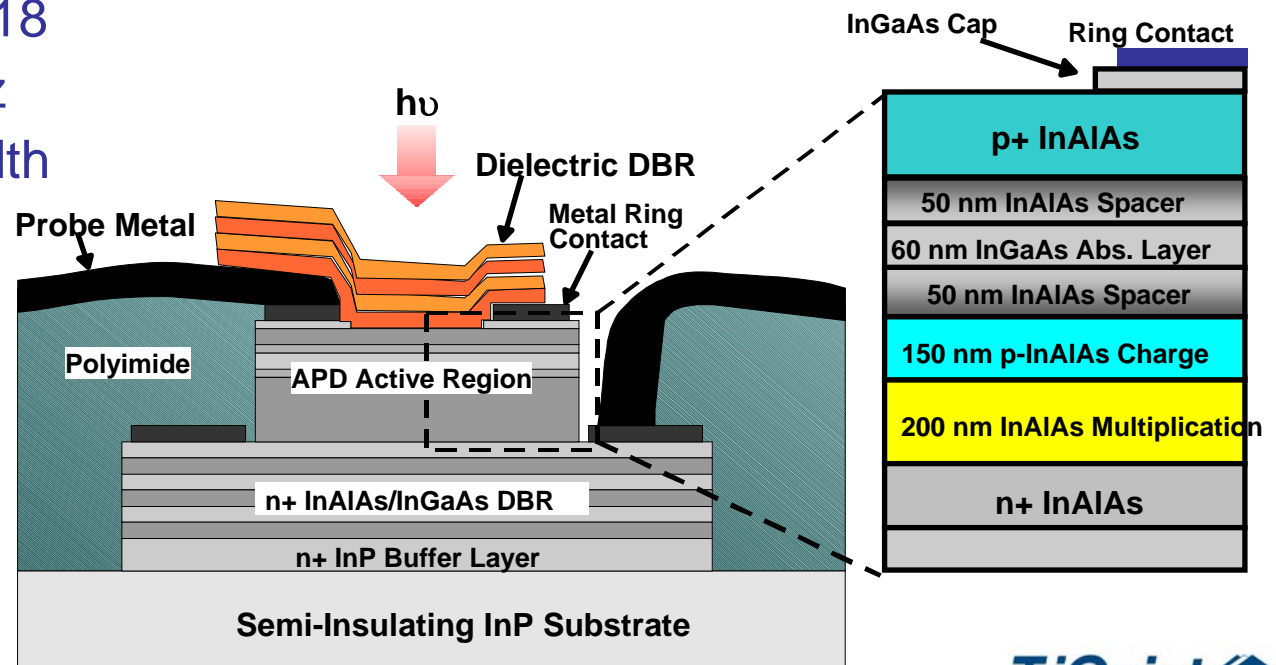
Planar SACM/SACGM APD

- InGaAs/InP Two-step MOCVD
- Planar Structure
- Etch and Regrowth Charge and Multiplication Region
- Diffusion controlled Multiplication Layer (single Diffusion or Well Etching-Diffusion)
- $X_d \sim 0.2-0.4 \mu\text{m}$
- GB product = 122 GHz
- Noise Ratio $k \sim 0.45$
- No Implant



Resonant-Cavity InGaAs/InAlAs SACM APD

- Resonant-Cavity Structure
- High QE ~ 75%
- Mesa Isolated
- SACM Configuration
- Thin InAlAs Multiplication Region (200 nm)
- Lower Noise $k \sim 0.18$
- Bandwidth > 20 GHz
- High Gain-Bandwidth Product

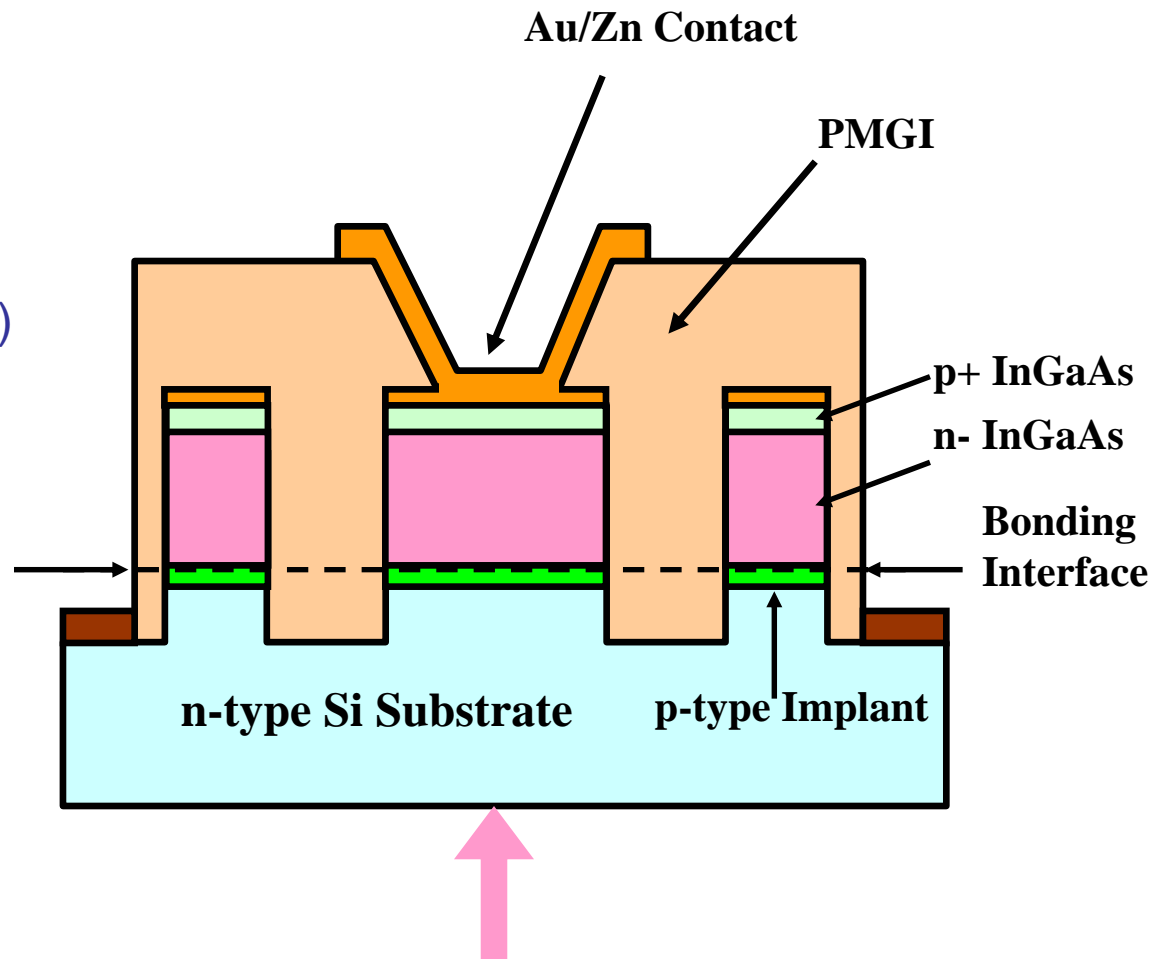


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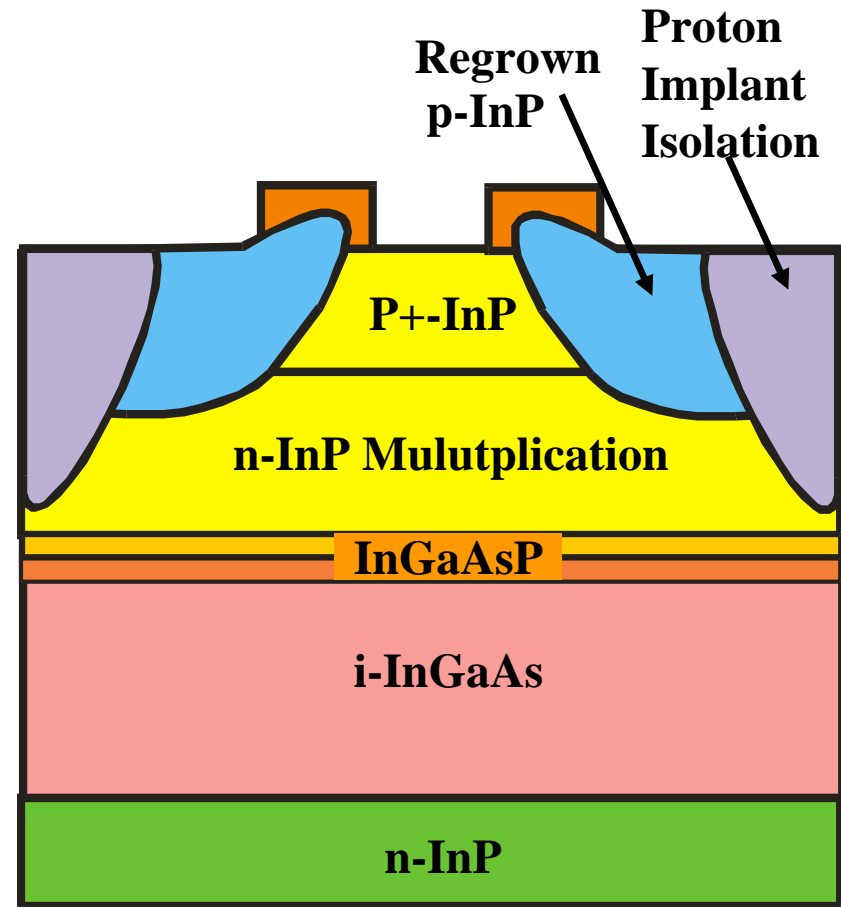
Wafer-Fused SHIP APD

- Silicon Heterointerface Photodetector (SHIP)
- Wafer-Fused Si Multiplication Region
- Mesa Isolated (20-30 μm)
- Backside Illumination
- Bandwidth= 13 GHz
- GainxBandwidth= 315 GHz
- Reliability Issue



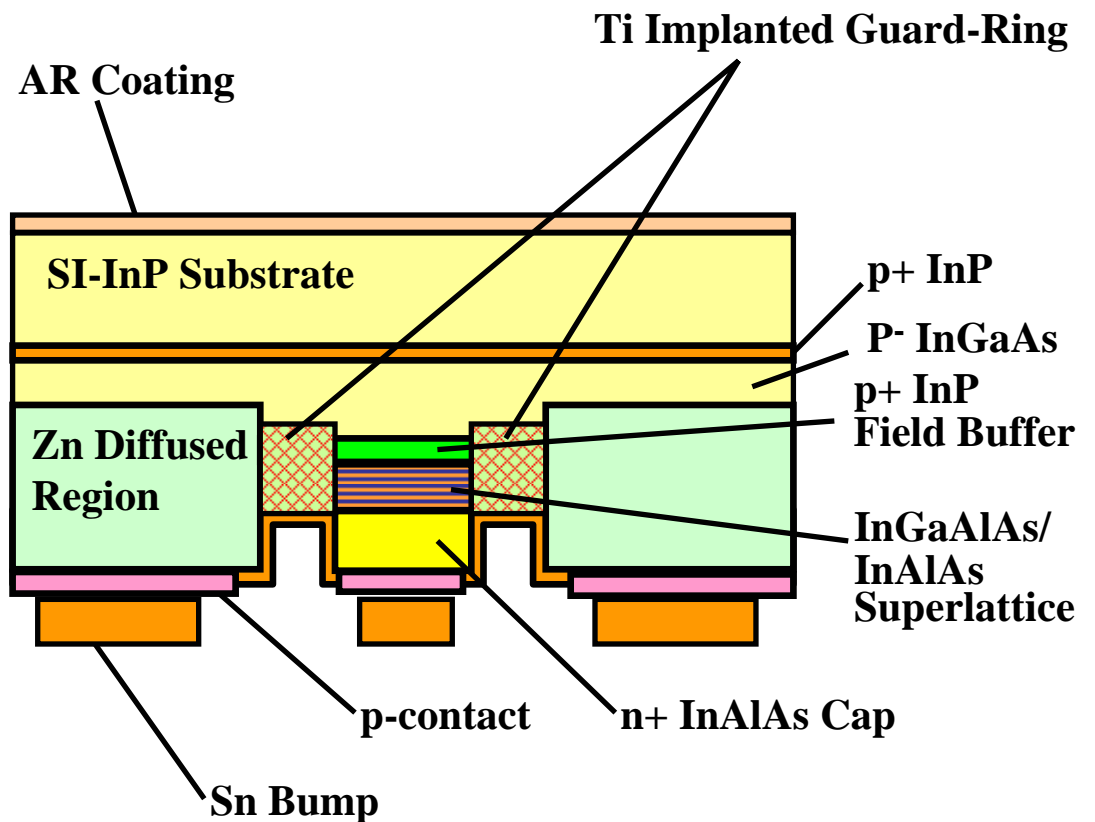
Buried Mesa APD with Regrown Guard-Ring

- Mesa Etch and Regrowth Isolation Layer (Patented)
- No Diffused Junctions and Multiple Implanted GRs
- Regrowth p-InP Guard Ring + Implanted Guard Ring
- Bandwidth < 4GHz for OC-48 Applications (2.5 GHz)
- GB Product = 37 GHz
- Sensitivity = -33 dBm
- Excess Noise Factor = 0.4



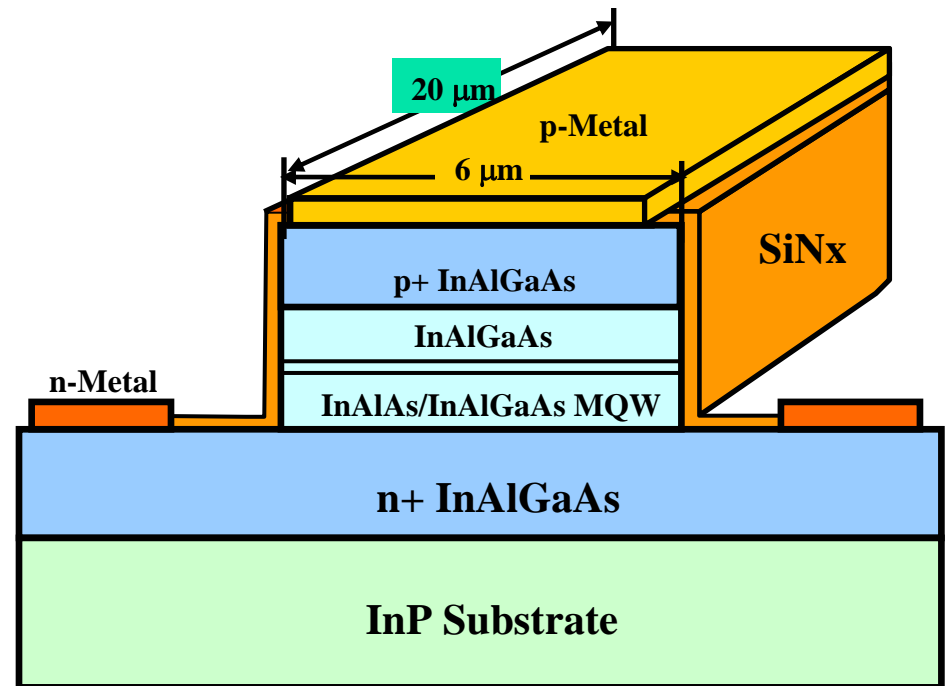
Planar InGaAlAs/InAlAs MQW APD

- SI InP Substrate
- Inverted Mesa Junction
- Ti Implanted Guard-Ring to Decrease p-concentration of Field-Buffer Layer
- Dark Current Increase Due to Implantation
- SiN_x Passivation
- p+ Zn Diffusion Isolation
- Contact Metal Deposition
- Flip-Chip Bonding
- $C_d=0.15\text{pF}$, $C_p=0.06\text{pF}$
- $R_L=25\ \Omega$ to achieve Bandwidth=15.2GHz
- $I_d=0.36\ \mu\text{A}$ @ $M=10$
- GB Product = 120GHz



Waveguide APD

- Multimode Waveguide Structure
- Mesa Etch and SiN_x Passivation
- InAlAs/InAlGaAs MWQ Multiplication Layer ~0.25 μm
- InGaAs Abs. Layer ~ 0.3 μm
- Top and Bottom InAlGaAs Cladding Layer ~ 0.8 μm
- Bandwidth= 20 GHz
- GB Product= 160 GHz
- Large Dark Current
 - 1 μA @ 90% V_B
- Edge Coupled w/ Lensed Fiber (3 μm Spot Size)





Real-World APD Device Specifications

- Quantum Efficiency, Responsivity
- Gain characteristics
- Bandwidth @ $M=10,12$ when P_{IN} is low (Sensitivity)
- Bandwidth @ $M < 4$ when P_{IN} is high (Overload)
- Primary Dark Current
- Excess Noise Factor
- Capacitance
- Breakdown Voltage



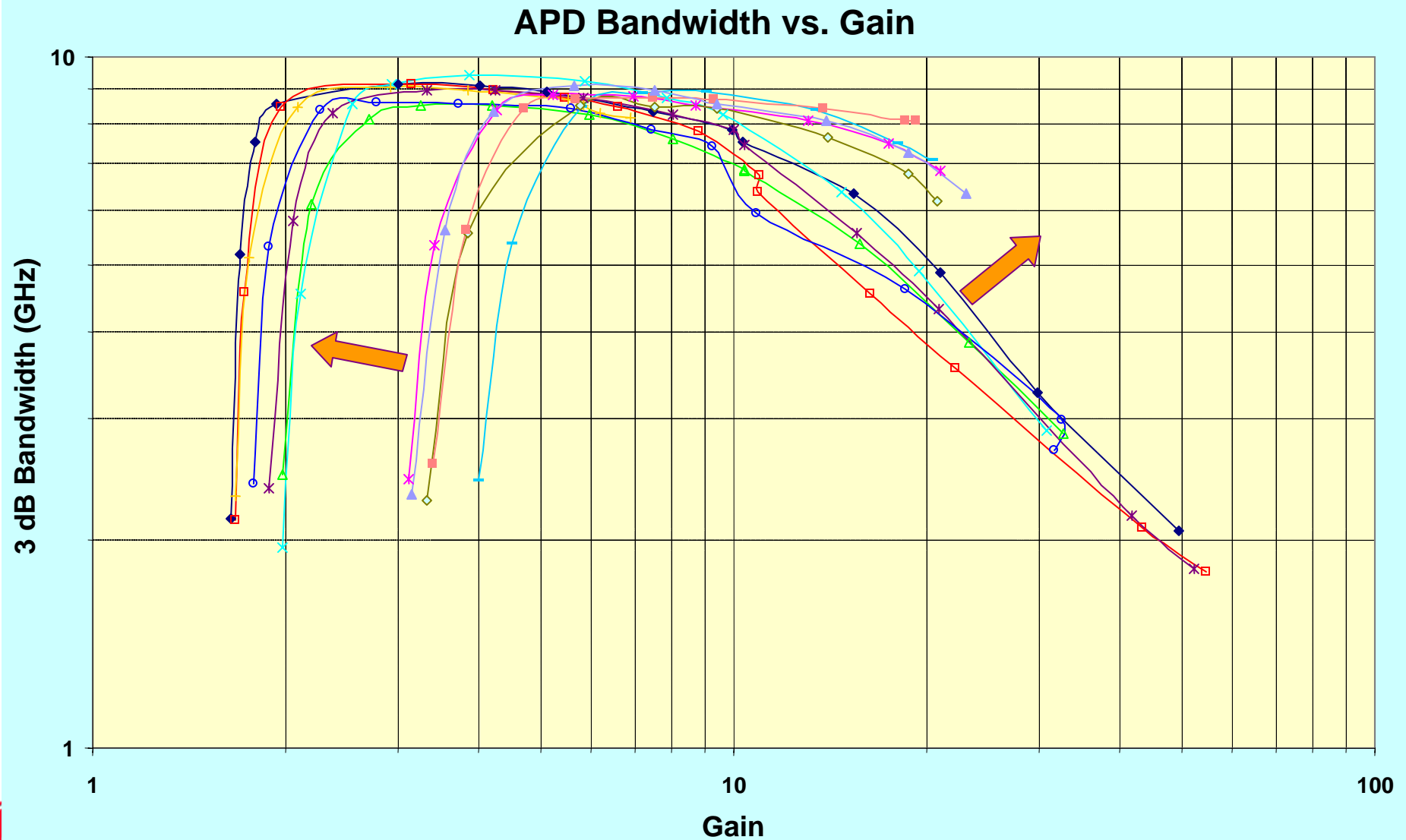


Performance of APD comes with price!

- Trade-off 1: Bandwidth~ Responsivity
 - InGaAs Absorption Layer Thickness
- Trade-off 2: RC Bandwidth ~ Transit Time Bandwidth
 - InGaAs, InP layer thickness
 - Device geometry
- Trade-off 3: BW@ M~10 ~ BW@ M~3
 - Multiplication layer doping
 - Diffusion junction depth control (Ehet control)
- Trade-off 4: Breakdown Voltage ~ Thickness, Doping
 - InGaAs, InP layer thickness
 - Multiplication layer doping



APD Design- Balance between Trade-offs



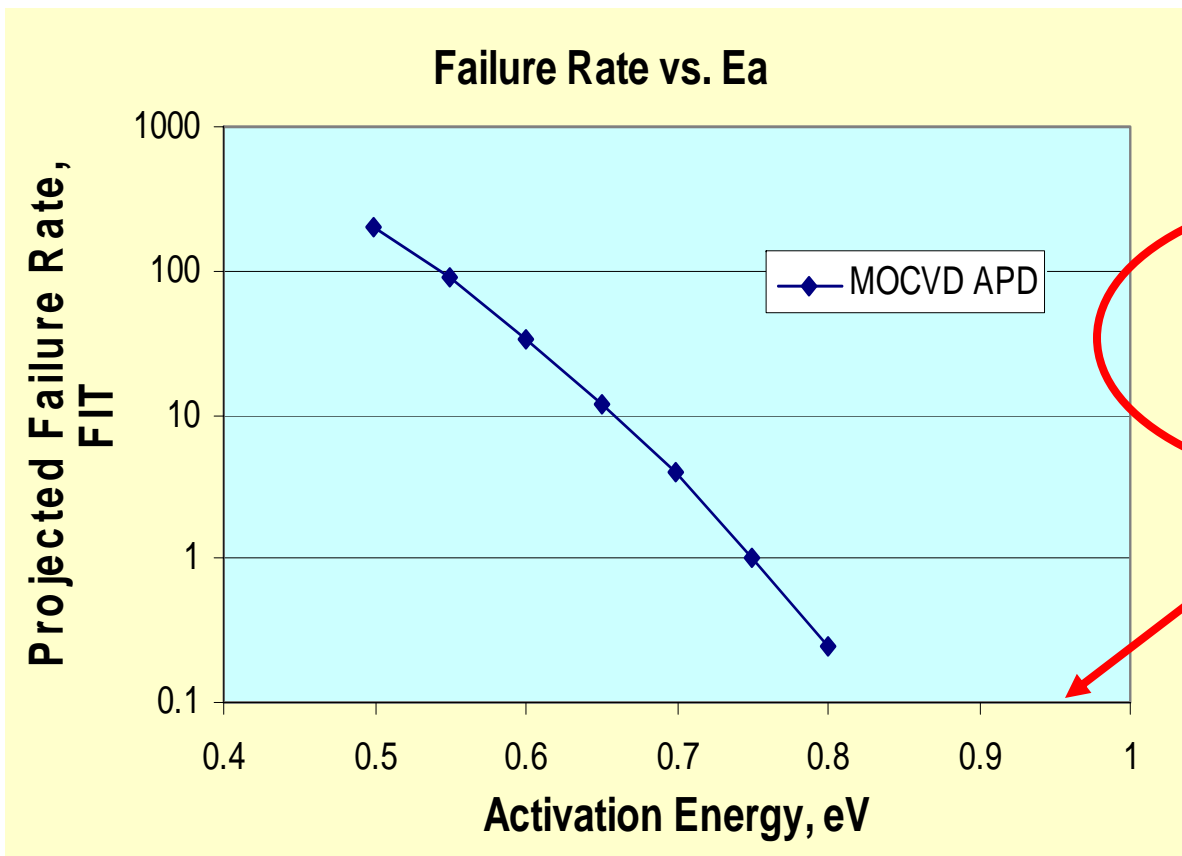


TriQuint APD Chips

- Over than 15 years of design and volume manufacturing APDs used in commercial communication systems (AT&T Bell Labs -> Lucent -> Agere -> TriQuint -> CyOptics?)
- High quality, high yield and low cost MOCVD epi
- Reliability proven with > 5000 hrs aging and >15 years of field use
- High-speed automated wafer level electrical and optical probing systems
- Receiver performance demonstrated with high performance APD chips



Failure Rates vs. Activation Energy



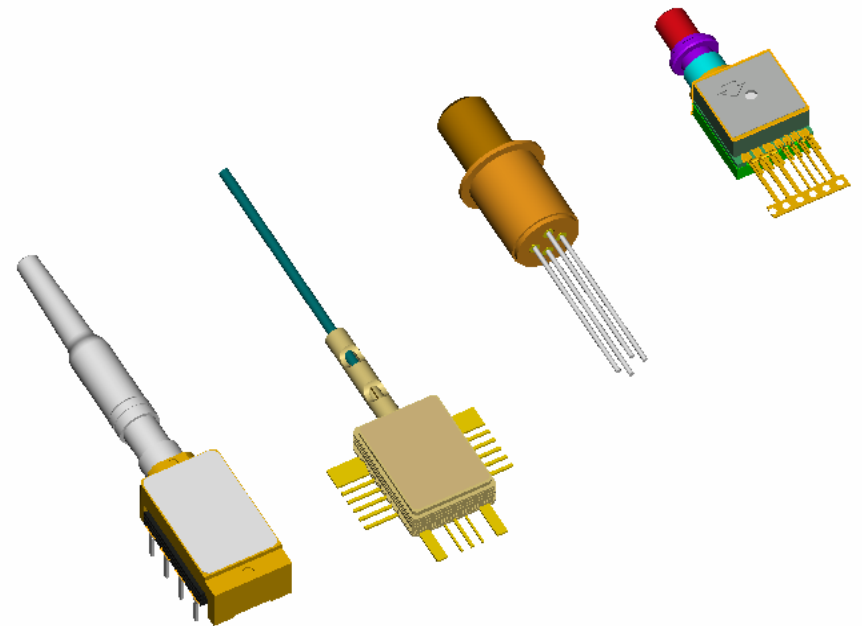
With >5000 hrs accelerated aging test, activation energy is extracted.

With the estimated E_a of 0.96 eV, these devices have very small FIT (< 1 FIT).



TriQuint Receiver Product Family

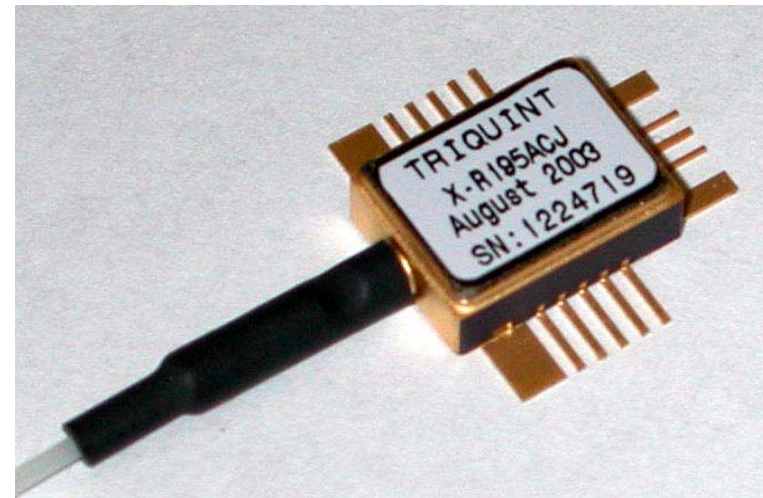
- Traditional butterfly package receiver
- MSA small-form-factor surface-mounted Receiver
- Ceramic packaged ROSA
- TO-can based ROSA



APD & PIN MSA Receivers

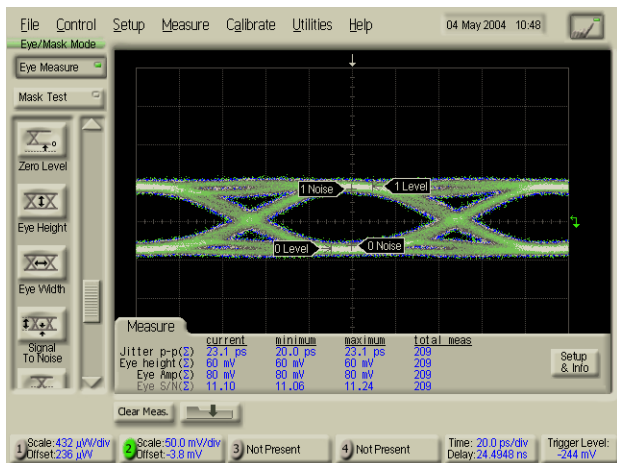
■ Key Advantages

- MSA Small Form Factor
- Surface Mount
- High Sensitivity & Overload
 - R195A typical -26 dBm, -3 dBm
 - R195P typical -19 dBm, $+1$ dBm
- Small Group Delay Variations
- Good Linearity
 - 700 mV Output Voltage Swing
- Excellent OSNR Performance

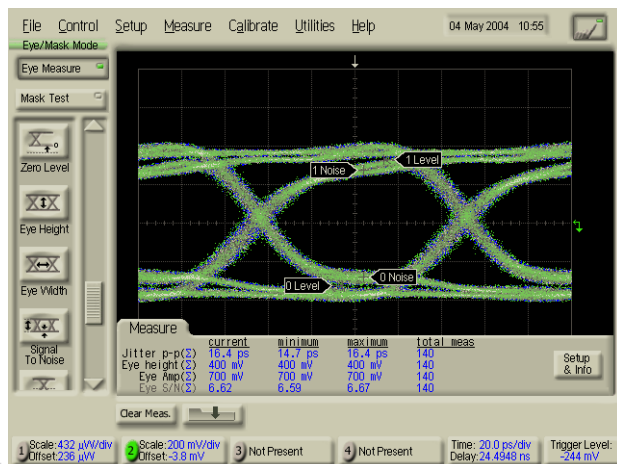


MSA APD Receivers

Eye

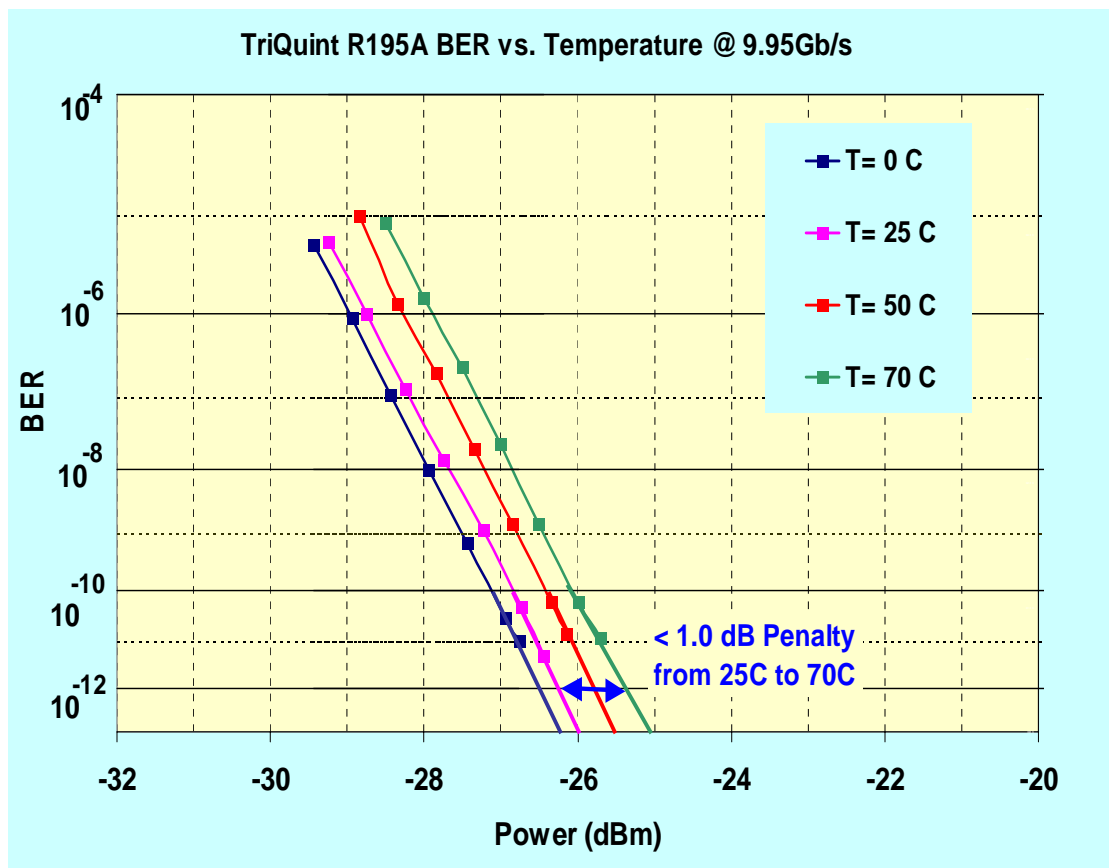


-26 dBm, M=10 9.953 Gb/s, 1550 nm, 2E31 - 1 PRBS

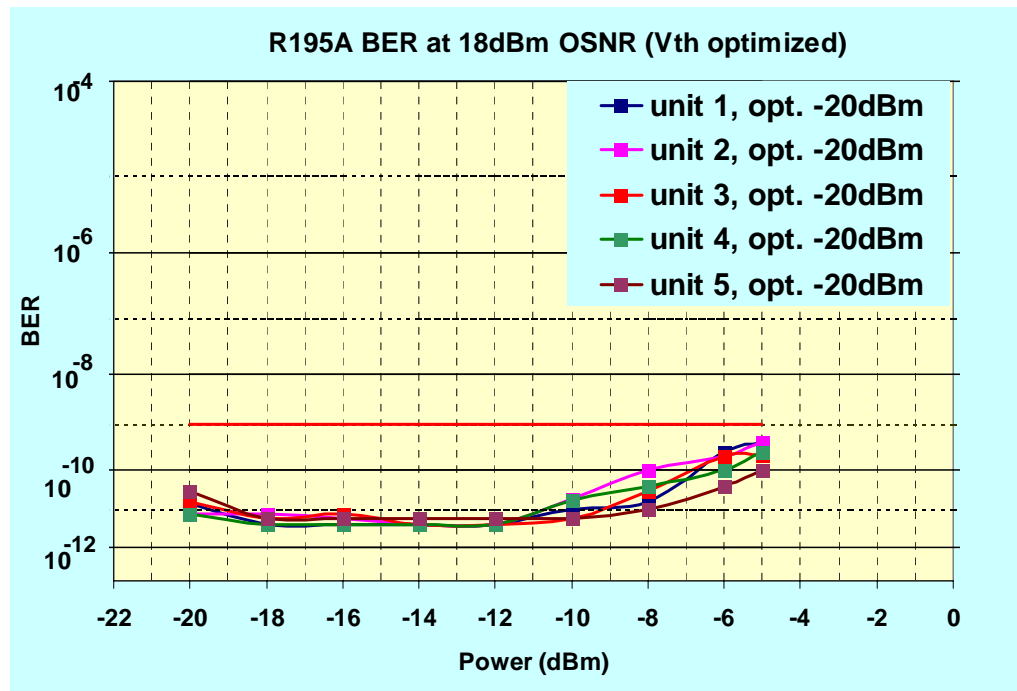
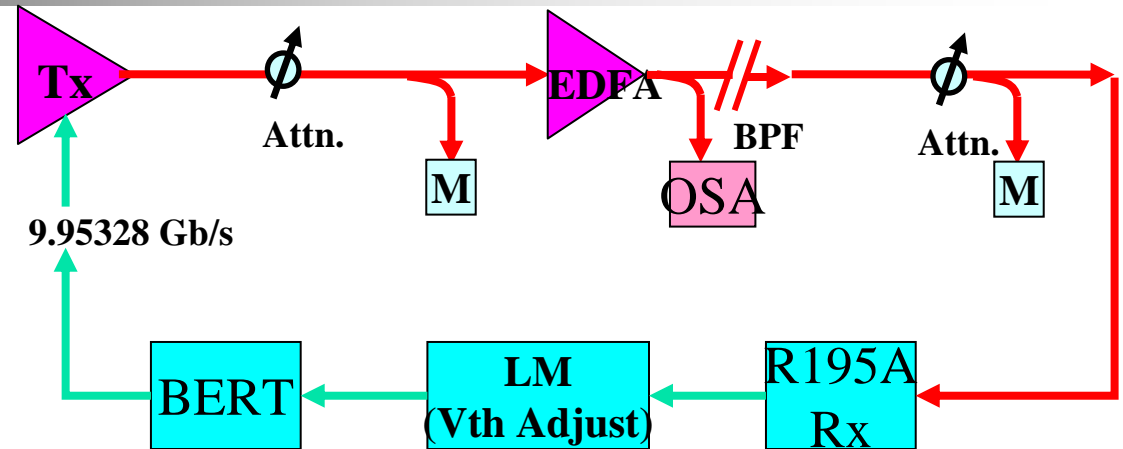


-3 dBm, M=3

BER

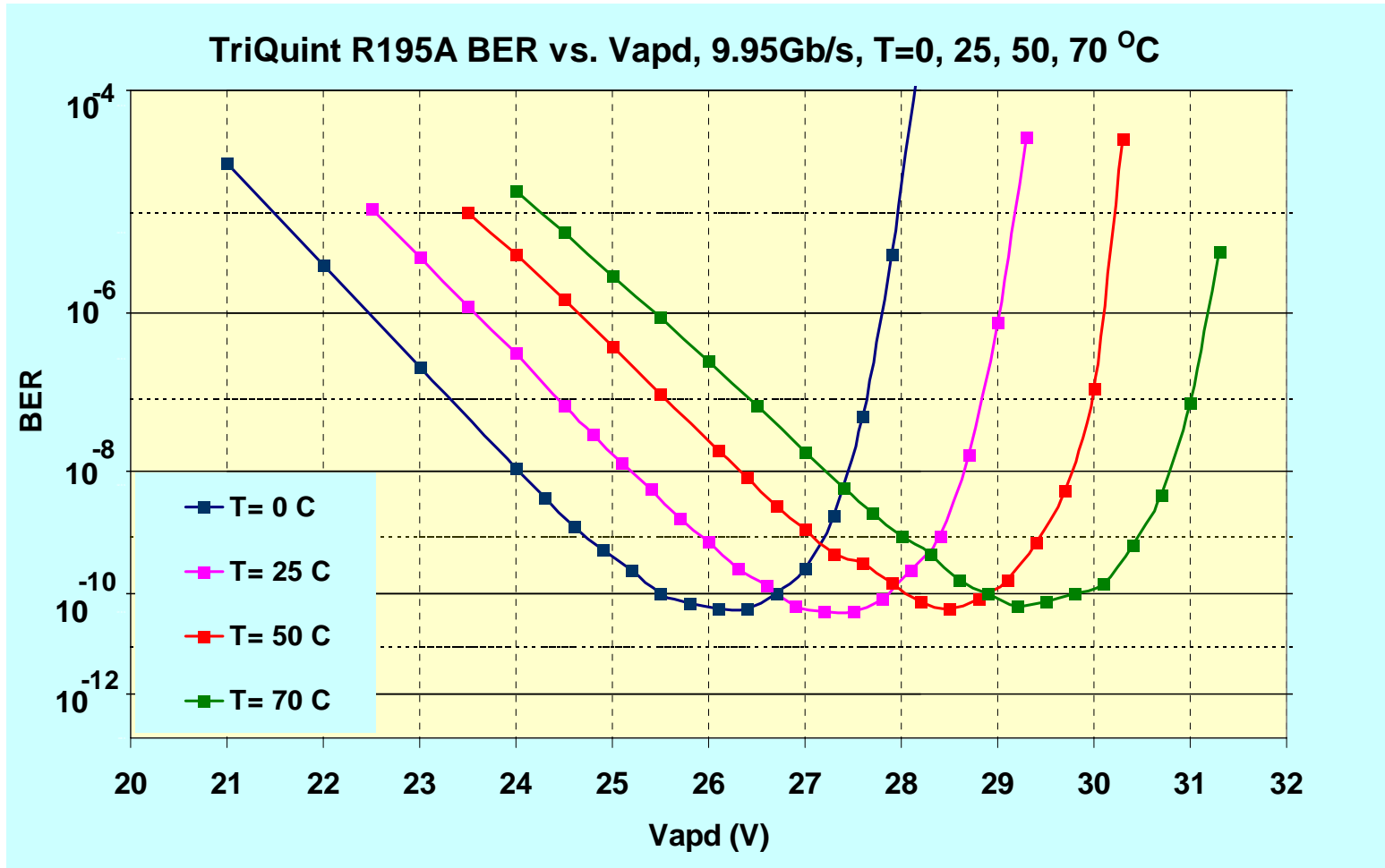


10G APD Receiver OSNR Performance



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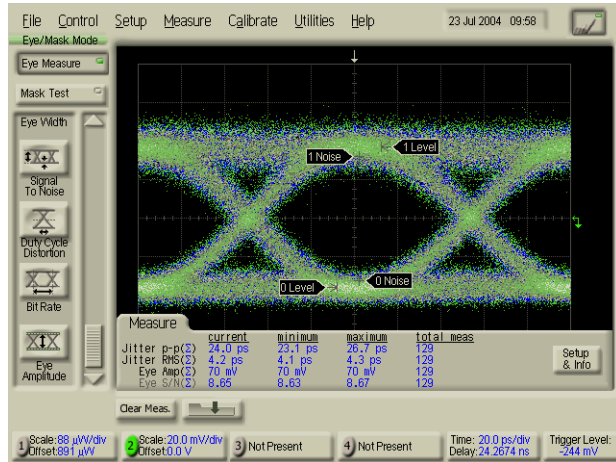
BER vs. Vpd over Temperature



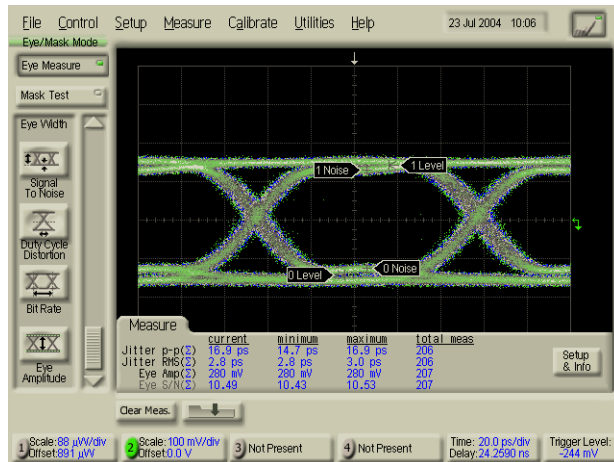
* For each temperature the optical power was adjusted to obtain a BER in the range of 4e-11 to 9e-11.

Next Generation MSA Receiver & ROSA

Eye

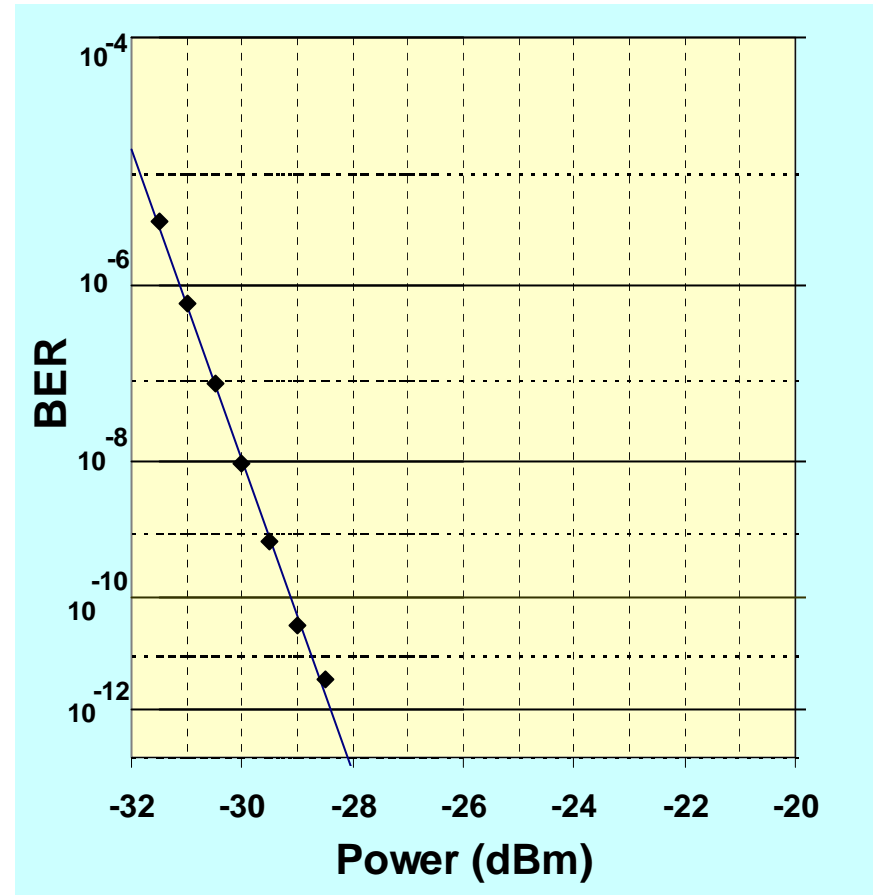


-28 dBm, M=9 9.953 Gb/s, 1550 nm, 2E31 – 1 PRBS

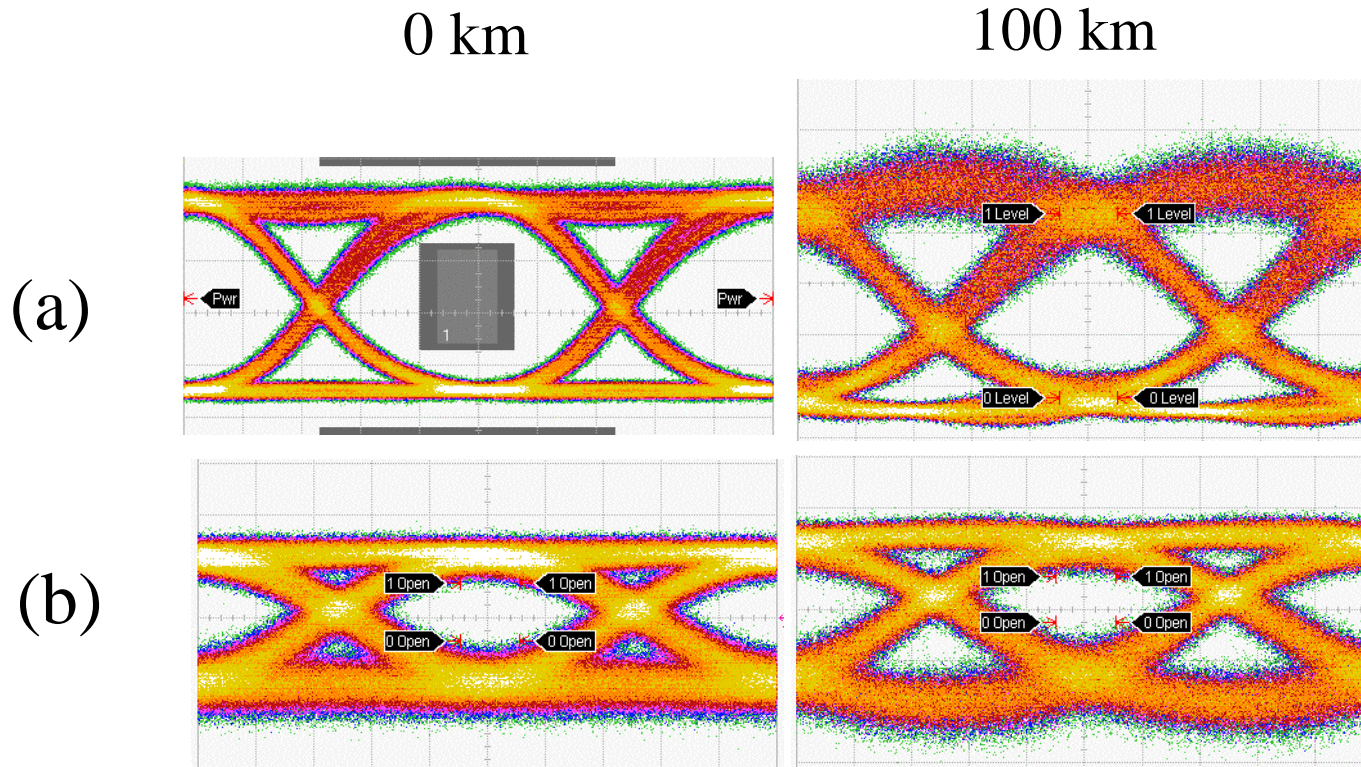


+1 dBm, M=3

BER



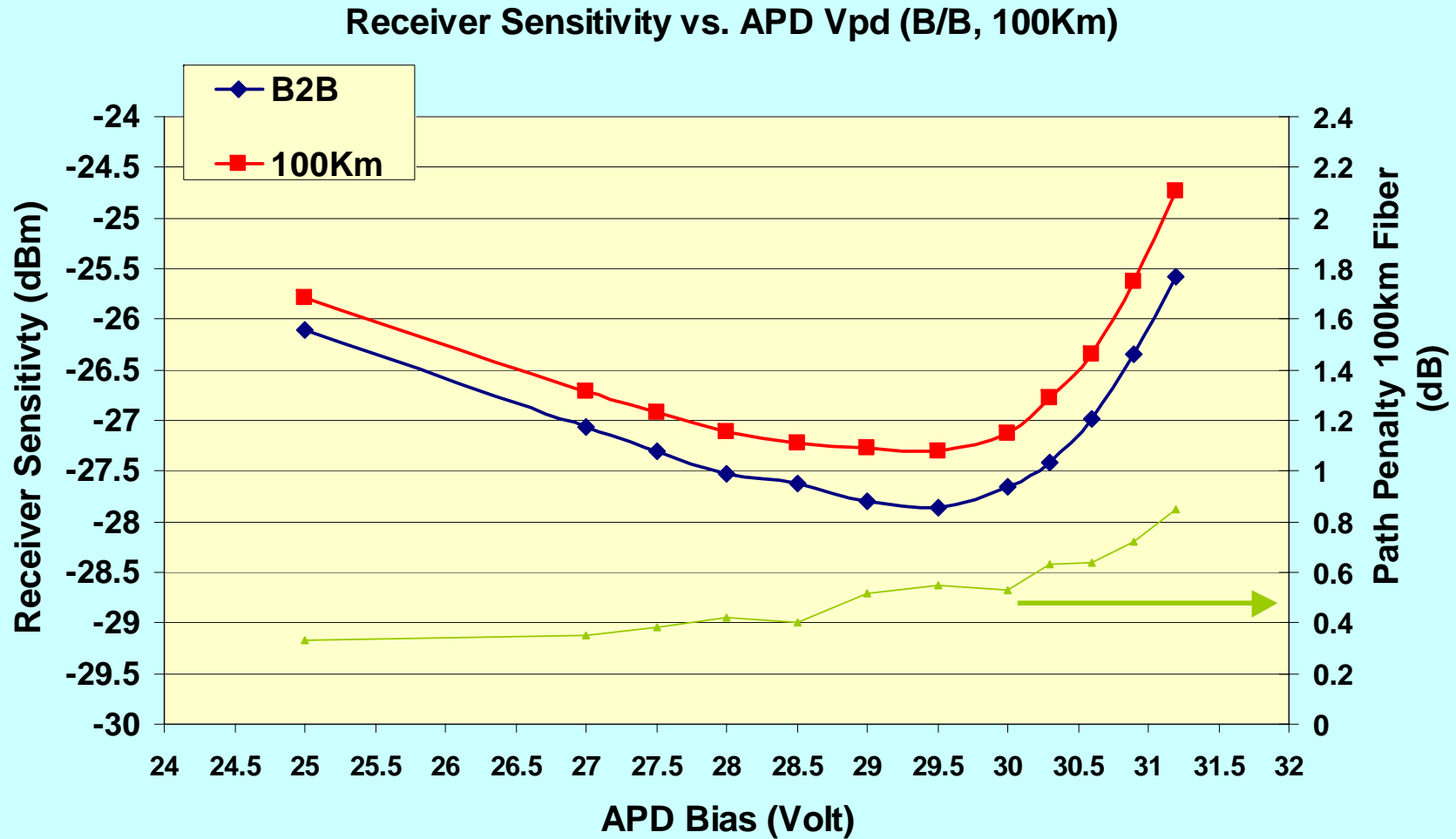
Fiber Transmission Experiments



Eye diagrams back-to-back, and after 100 km transmission. (a) Optical. (b) Electrical.



Path Penalty after 100 km Fiber

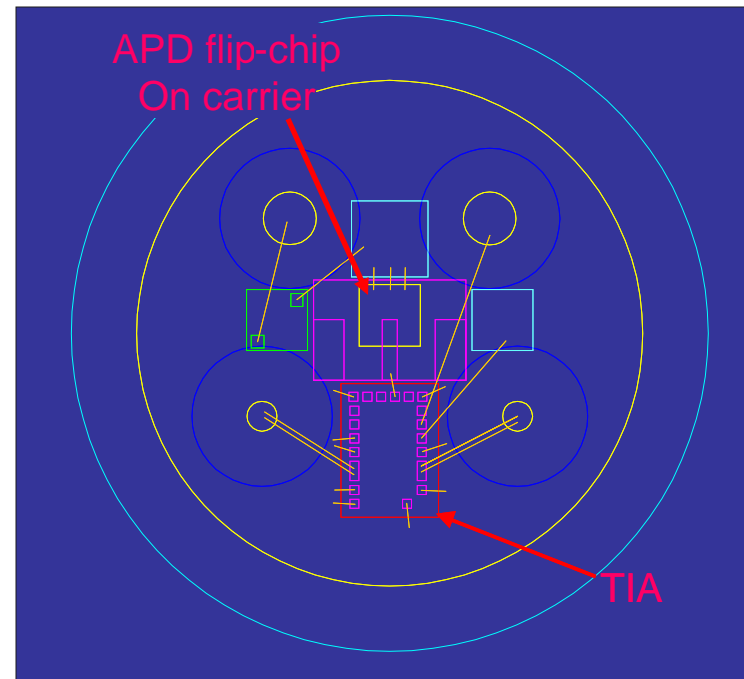


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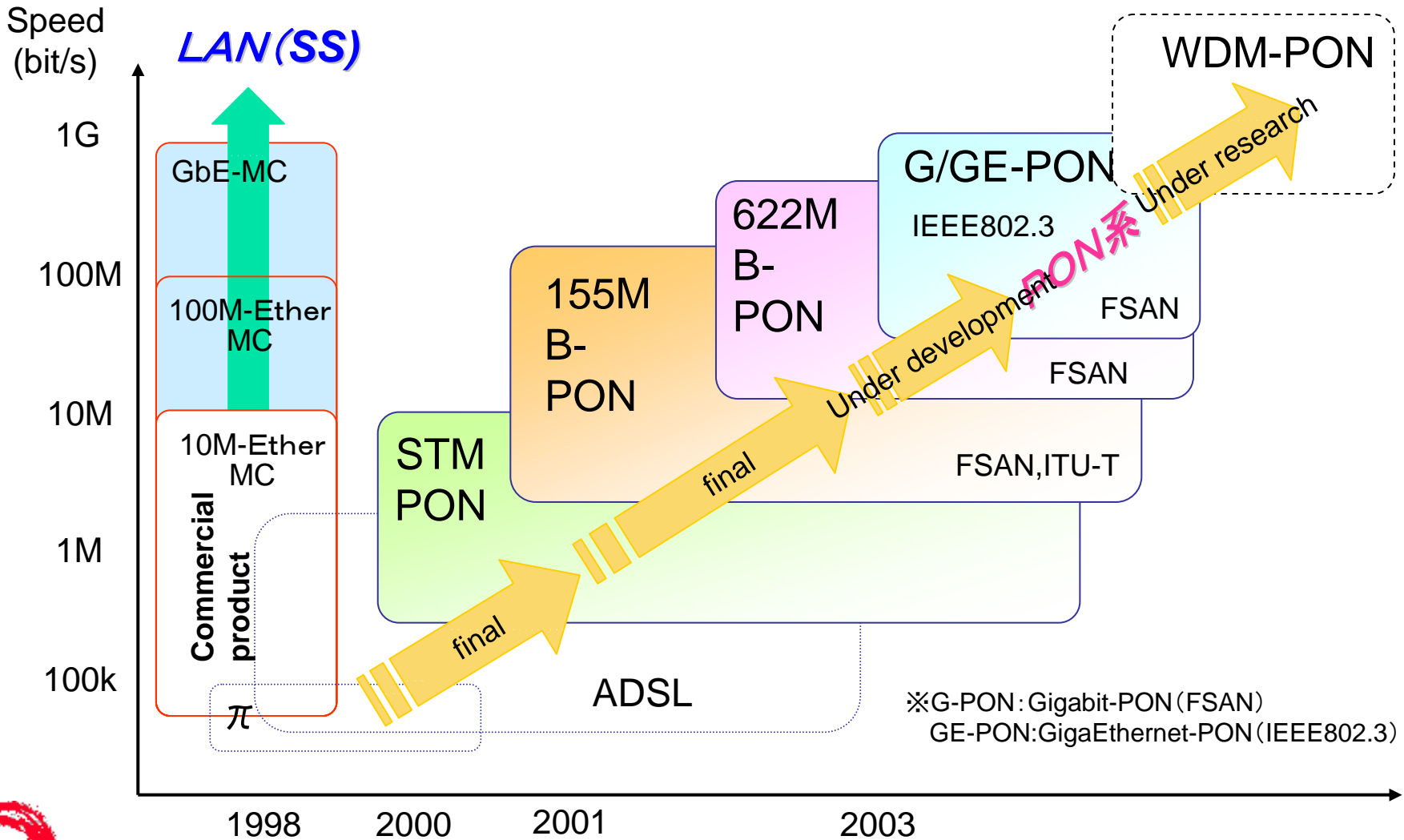
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PIN & APD ROSAs

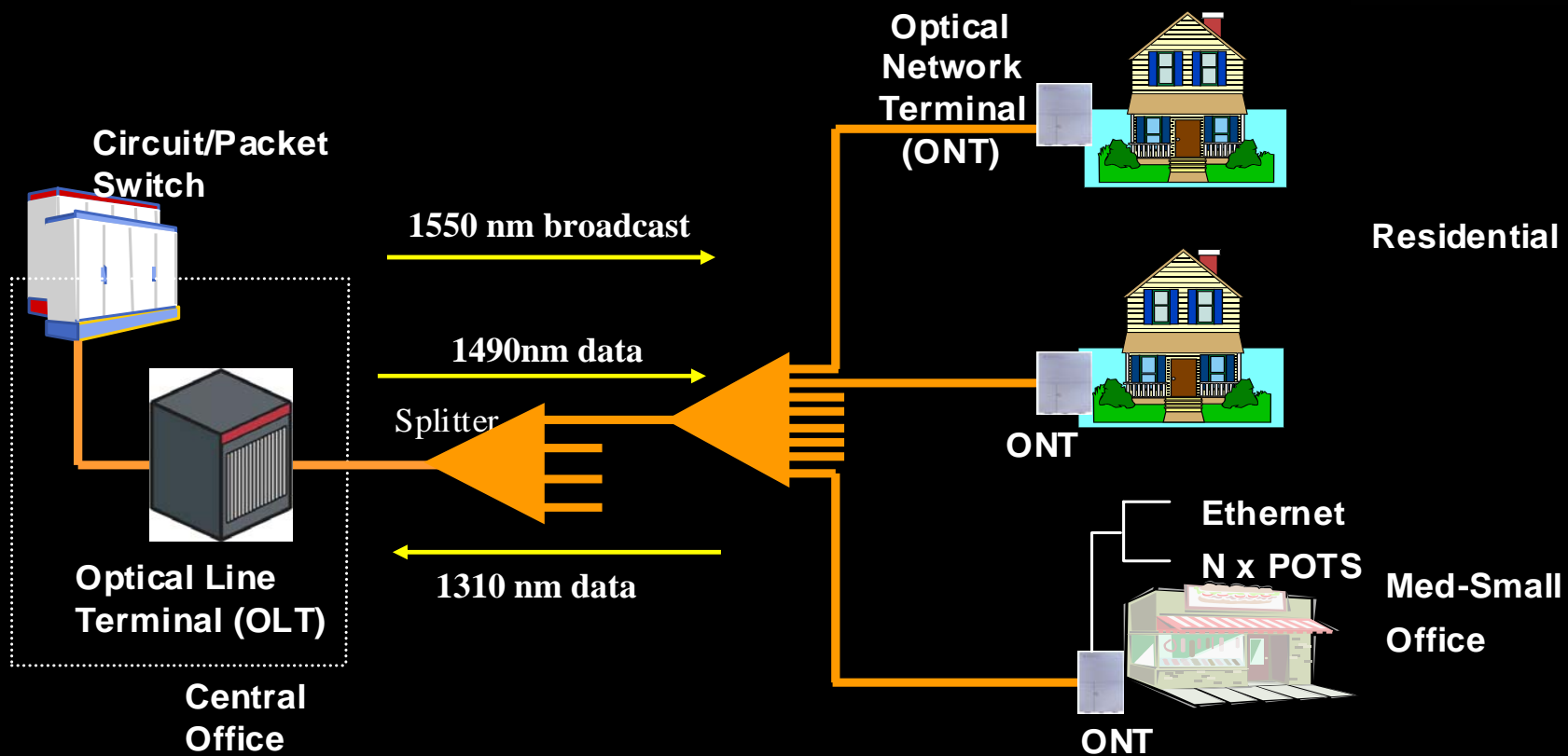
- Low cost, high performance
- XMD compatible
- 3.3V TIA
- Detector on carrier



Advancement in Access



FTTP Architecture



PON (Passive Optical Network)
A single, shared optical fiber serving 32 customers.
“Passive” because no active electronics in access network, except for the end points.

FTTP Market

Market: North America: 1-2M home for 2005

Verizon: About 150k Subscribers, 1M home passed. Plan to add 2M home by 2005

SBC: Field trial on 2004, and plan add 300K home on 2005

Japan: 2-3M homes for 2005

NTT: Plan spend \$48B for 30M subscribers by 2010, add 1-2M subscribers on 2005

Yahoo BB: Strong competitor with 2M subscribers in 2005 plan

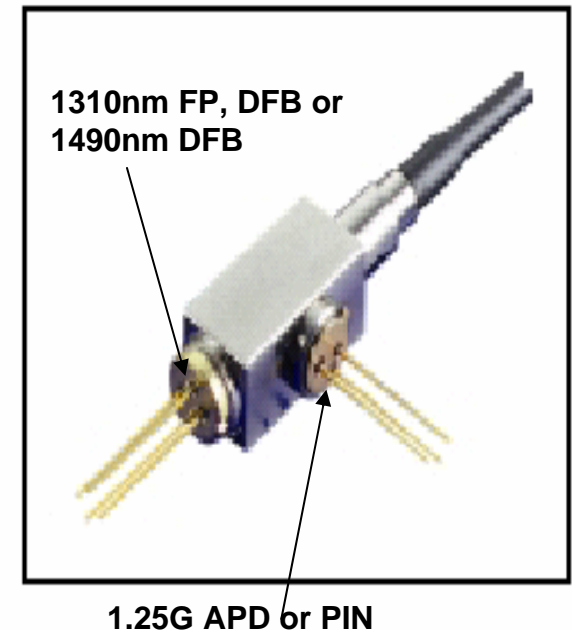
Korea: Plan to add 10M subscribers by 2007, but no detail plan yet

China: Still on earlier stage of deployment, a lot of trials

Europe: About 400K Subscribers, not high level growth can se

Equipment:

- **Optical Network Terminal (ONT):**
 - 1310 DFB or 1310nm FP for upstream
 - 1490nm PD 1550nm analog detector for receiver
- **Optical Line Terminal (OLT):**
 - 1490nm DFB for downstream data transmission
 - APD for receiving
- **Cost, cost and cost while not sacrificing performance!**



EPON & GPON Requirements

- EPON & GPON Different Requirements
 - PIN mostly in ONT side
 - APD mostly used in OLT side
 - APD will be more common due to split ratio increases

	EPON (IEEE 803.2ah)		GPON (ITU-T)
	1000BASE-PX10	1000BASE-PX20	G.984.2
Distance	10 Km	10 Km	20 Km
Attn Range	5-20dB @ upstream	5-20dB @ upstream	Class A: 5-20dB Class B: 10-25dB Class C: 15-30dB
Output Power	ONT: -1 ~ +4 dBm OLT: -3 ~ +2 dBm	ONT: -1 ~ +4 dBm OLT: +2 ~ +7 dBm	ONT: -2 ~ +3 dBm @ ClassB OLT: +1 ~ +6 dBm @ ClassB
Receive Power	ONT: -24 ~ -3 dBm OLT: -24 ~ +1 dBm	ONT: -24 ~ -3 dBm OLT: -27 ~ -6 dBm	ONT: -25 ~ -4 dBm @ ClassB OLT: -28 ~ -7 dBm @ ClassB

